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NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

03/18/2004

Kevin R Spivak Morrison & Foerster LLP 2000 Pennsylvania Avenue NW Washington, DC 20006-1888 EXAMINER SUN, XIUQIN

ART UNIT

PAPER NUMBER

2863

DATE MAILED: 03/18/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/857,481	06/04/2001	Stefan Schaffler	P01,0147	4787

TITLE OF INVENTION: METHOD AND DEVICE FOR REDUCING A NUMBER OF MEASURED VALUES OF A TECHNICAL SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	06/18/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATEN <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHT THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPO PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM TH MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THE STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOV REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (O AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WIL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is n claiming SMALL ENTITY status, check the box below and enclo the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- □ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) w your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

			or E	<u>ax</u> (703) 746-4000				
INSTRUCTIONS: This for appropriate. All further conindicated unless corrected be maintenance fee notification	m should be used for tran respondence including the le below or directed otherwise is.	smitting the ISSU Patent, advance ordin Block 1, by (a		UBLICATION FEE (if requication of maintenance fees winew correspondence address)	ired). Blocks 1 through 4 s vill be mailed to the current and/or (b) indicating a sepa	hould be completed wh correspondence address arate "FEE ADDRESS"		
CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or 7590 03/18/2004			use Block 1)	Fee(s) Transmittal. The	Note: A certificate of mailing can only be used for domestic mailings of Fee(s) Transmittal. This certificate cannot be used for any other accompany papers. Each additional paper, such as an assignment or formal drawing, m have its own certificate of mailing or transmission.			
	05/10/2001				rtificate of Mailing or Trans	smission		
Kevin R Spivak Morrison & Foerster LLP 2000 Pennsylvania Avenue NW Washington, DC 20006-1888				I hereby certify that the States Postal Service vaddressed to the Mai	I hereby certify that this Fee(s) Transmittal is being deposited with the Un States Postal Service with sufficient postage for first class mail in an envel addressed to the Mail Stop ISSUE FEE address above, or being facsing transmitted to the USPTO, on the date indicated below.			
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nonprovisional	NO	\$1330)	\$0	\$1330	06/18/2004		
EXAM	INER	ART UN	IT	CLASS-SUBCLASS]			
SUN, X	IIUQIN	2863		702-189000		•		
Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.					
3. ASSIGNEE NAME AND	RESIDENCE DATA TO B	BE PRINTED ON T	THE PATENT	(print or type)				
	an assignee is identified be d to the USPTO or is being	low, no assignee d submitted under se	ata will appear parate cover. C	r on the patent. Inclusion of a completion of this form is NO E: (CITY and STATE OR CO		ate when an assignment ignment.		
Please check the appropriate	assignee category or category	ories (will not be pr	inted on the pa	tent); 🗅 individual 🗅 e	corporation or other private g	roup entity		
4a. The following fee(s) are	enclosed:	4b	D. Payment of F	` '				
				the amount of the fee(s) is end				
•			•	lit card. Form PTO-2038 is attached. hereby authorized by charge the required fee(s), or credit any overpayment				
Advance Order - # of	Copies		Deposit Acco			copy of this form).		
Director for Patents is reques	sted to apply the Issue Fee a	nd Publication Fee	(if any) or to r	e-apply any previously paid i	ssue fee to the application ide	entified above.		
(Authorized Signature)		(Date)						
NOTE; The Issue Fee and other than the applicant; interest as shown by the re-	d Publication Fee (if requir a registered attorney or ag cords of the United States Pa	red) will not be accent; or the assignment and Trademar	cepted from a ee or other pa rk Office.	nyone rty in				
This collection of informa	tion is required by 37 CFR	1.311. The informile (and by the US	nation is requi	red to ss) an				

obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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75	590 03/18/2004		EXAMINER	
Kevin R Spivak		SUN, XIUQIN		
Morrison & Foerste 2000 Pennsylvania			ART UNIT	PAPER NUMBER
Washington, DC 20			2863	
			DATE MAILED: 03/18/2004	

Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date th determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retriev (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

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	Application No.	Applicant(s)	
	09/857,481	SCHAFFLER ET AL.	
Notice of Allowability	Examiner	Art Unit	
	Xiuqin Sun	2863	
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED or other appropriate comr GHTS. This application is	in this application. If not included nunication will be mailed in due cou	ırse. THIS
1. \boxtimes This communication is responsive to <u>02/06/2004</u> .			
2. The allowed claim(s) is/are 12-20.		:	
3. \boxtimes The drawings filed on <u>04 June 2001</u> are accepted by the E	xaminer.		
 4. Acknowledgment is made of a claim for foreign priority ur a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). * Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 	been received. been received in Applicate cuments have been received of this communication to f	tion No red in this national stage application	
5. A SUBSTITUTE OATH OR DECLARATION must be subminformal PATENT APPLICATION (PTO-152) which give	itted. Note the attached Exercises reason(s) why the oath	XAMINER'S AMENDMENT or NOT or declaration is deficient.	TCE OF
6. CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.	•	
(a) including changes required by the Notice of Draftspers	son's Patent Drawing Revi	ew (PTO-948) attached	
1) 🗌 hereto or 2) 🔲 to Paper No./Mail Date			
(b) including changes required by the attached Examiner' Paper No./Mail Date	s Amendment / Comment	or in the Office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t	.84(c)) should be written on he header according to 37 (the drawings in the front (not the ba	ick) of
7. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT	sit of BIOLOGICAL MA	TERIAL must be submitted. Not	e the
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5. Notice of	Informal Patent Application (PTO-1	52)
2. Notice of Draftperson's Patent Drawing Review (PTO-948)		Summary (PTO-413), b./Mail Date	
3. Information Disclosure Statements (PTO-1449 or PTO/SB/C Paper No./Mail Date		's Amendment/Comment	
Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. ⊠ Examiner 9. ☐ Other	's Statement of Reasons for Allowa	ince

U.S. Patent and Trademark Office
PTOL-37 (Rev. 1-04)

DETAILED ACTION

Allowable Subject Matter

1. Claims 12-20 are allowed.

Reasons for Allowance

2. The following is an examiner's statement of reasons for allowance:

The primary reason for the allowance of independent claims 12 and 14-17 is the claimed predefined criteria that is based on said predetermined first set of parameters. It is this limitation found in each of the claims, as it is claimed in the combination that has not been found, taught or suggested by the prior art of record, which makes these claims allowable over the prior art.

The primary reason for the allowance of independent claim 13 is the claimed method steps of determining an empirical value associated with a transient phase of the technical process resulting from a modification of the predetermined set of parameters; and screening out the empirical value associated with the transient phase. It is these steps found in the claim, as they are claimed in the combination that has not been found, taught or suggested by the prior art of record, which make this claim allowable over the prior art.

The primary reason for the allowance of independent claim 18 is the claimed method step of screening out a class with fewer number of empirical values than a

predefined number. It is this limitation found in the claim, as it is claimed in the combination that has not been found, taught or suggested by the prior art of record, which makes this claim allowable over the prior art.

The primary reason for the allowance of independent claim 19 is the inclusion of the limitation that says: the result of said assessing step is a difference of the empirical value in the class with the predefined first threshold value. It is this limitation found in the claim, as it is claimed in the combination that has not been found, taught or suggested by the prior art of record, which makes this claim allowable over the prior art.

The primary reason for the allowance of independent claim 20 is the inclusion of the limitation that said predefined criteria in said division step is based on the predetermined set of parameters. It is this limitation found in the claim, as it is claimed in the combination that has not been found, taught or suggested by the prior art of record, which makes this claim allowable over the prior art.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Contact Information

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Xiuqin Sun whose telephone number is (571)272-2280. The examiner can normally be reached on 6:30am-4:00pm.

Art Unit: 2863

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Barlow can be reached on (571)272-2269. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Xiuqin Sun Examiner Art Unit 2863

March 11, 2004